JUL 0 5 2006

#5705

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET SERIAL NO.

033035 M 0342 10/691,569

APPLICANT:

Kensaku MOTOKI, et al.

FILING DATE GROUP ART UNIT
October 24, 2003 2814

U.S. PATENT DOCUMENTS

U.S. PATENT DOCUMENTS										
*Examiner's Initials		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE, IF APPROPRIATE			
	AA									
	AB									
	AC				\					
	AD)					
	AF				<u> </u>					
	AG									
	АН									
	Al	./	*							
	AJ									
	AK									

FOREIGN PATENT DOCUMENTS

*Examiner's Initials		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSL YES	ATION NO
MB	AL	97/11518	3/27/1997	WO				
MN	AM	07-273048	10/20/1995	JAPAN			abstract	
MY	AN	11-135770	5/21/1999	JAPAN			abstract	
	AO							
	AP				-			

AQ H. Okumura, et al., "Epitaxial growth of cubic and hexagonal GaN on GaAs by gassource molecular-beam epitaxy", Appl. Phys. Lett. 59(9), August 26, 1991, pages1058-1060, November 2002

AR K. Naniwae, et al., "Growth of Single Crystal GaN Substrate Using Hydride Vapor Phase Epitaxy", Journal of Crystal Growth 99, 1990, pages 381-384

AS Notice of Rejection from JPO

EXAMINER:

DATE CONSIDERED:

"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

#4785

FORM PTO-1449

ATTY. DOCKET
33035M0342

SERIAL NO.
10/691,569

Applicant

Kensaku Motoki, et al.

FILING DATE
October 24, 2003

GROUP ART UNIT
2814

U.S. PATENT DOCUMENTS

*Examiner's Initials		DOCUMENT NUMBER	DATE	NAME	CLASS	FILING DATE, IF APPROPRIATE
ursi	AA	5,970,314	10/19/99	Okahisa, et al.		
MIN	AB	5,962,875	10/5/99	Motoki, et al.		
MAP	AC	5,834,325	11/10/98	Motoki, et al.		

FOREIGN PATENT DOCUMENTS

*Examiner's		DOCUMENT	DATE	COUNTRY	CLASS	SUB-	TRANSLATION	
Initials		NUMBER				CLASS	YES	NO
VISE.	AD	WO 96/41906	12/27/96	PCT				
1488	AE	EP 0 801 156	10/15/97	EPO				
MA	AF	EP 0 810 674	12/3/97	EPO				

OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, Etc.) Masaki Nagahara, et al., "Selective Growth of Cubic GaN in Small Areas on Patterned GaAs (100) Substrates by Metalorganic Vapor Phase Epitaxy", Japanese Journal of Applied Physics, Publication Office Japanese Journal of Applied Physics, Vol. 33, No. 1B, Part 1, (1994), pp. 694-697, XP000596419 X. Li, et al., "Characteristics of GaN Stripes Grown by Selective-Area Metalorganic Chemical Vapor Deposition[®], Journal of Electronic Materials, Vol. 26, No. 3, (1996), pp. 306-310, XP009004611 Database Inspec 'Online! Institute of Electrical Engineers, Stevenage, GB; Matsushima H. et al., "Sub-micron fine structure of GaN by metalorganic vapor phase epitaqxy (MOVPE) selective area growth (SAG) and buried structure by epitaxial lateral overgrowth (ELO)", Database accession no. 6037425 XP-002268861 Database Inspec 'Online! Institute of Electrical Engineers, Stevenage, GB; Shibata T et al. "Hybride vapor-phase epitaxy growth of high-quality GaN bulk single crystal by epitaxial lateral overgrowth", Database accession no. 6037423 XP-002268862 Database Inspec 'Online! Institute of Electrical Engineers, Stevenage, GB; Sasaoka C et al.. "High-quality InGaN MQW on low-dislocation-density GaN substrate grown by hydride vapor-phase epitaxy", Database accession no. 6037422 XP-002268863 DATE CONSIDERED: EXAMINER:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

#3-10) 10/24/3 Page 1 of 2

FORM PTO-1449	ATTY. DOCKET NO. 33035M0342 SERIAL NO. To Be Assigned 10 69.569				
INFORMATION DISCLOSURE STATEMENT	APPLICANTS: Kensaku Motoki, et al.				
(Use several sheets if necessary)	FILING DATE Herewith	GROUP ART UNIT To Be Assigned			

U.S. Patent Documents

Examine Initials		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE, IF APPROPRIATE
MAS	AA	4,727,047	2/1988	Bozler, et al.			
	AB	6,225,650	5/2001	Tadatomo et al.			_
	AC	5,843,227	12/1998	Kimura et al.		12 2 2 11 2 11	
	AD	5,846,609	12/1998	Shiralagi			
	AE	6,087,681	7/2000	Shakuda			
V	AF	6,096,130	8/2000	Kimura			
MIST	AG	6,156,581	12/2000	Vaudo et al.			

FOREIGN PATENT DOCUMENTS

*Examiner's		DOCUMENT	DATE	COUNTRY	CLASS	SUB-	TRANSLATION	
Initials	<u> </u>	NUMBER			CLASS		YES	NO
MDI	AD	09-194299	7/29/97	Japan			Abstract	
j	AE	8-116090	5/7/96	Japan			Abstract	
	AF	9-255496	9/30/97	Japan			Abstract	
	AG	. 7-273048	10/20/95	Japan			Abstract	
	AH	51-50899	5/4/76	Japan	Japan		Х	
	AI	10-265297	10/6/98	Japan			Abstract	
	AJ	10-312971	11/24/98	Japan			Abstract	
	AK	10-326751	12/8/98	Japan	Japan		Abstract	
	AL	10-321529	12/4/98	Japan			Х	
MIS	AM	09-255496	9/30/97	Japan			Abstract	

	OTHER	INFORMATION	(Including Au	hor, Title	, Date	Pertinent	Pages,	Etc.)	
AN									

March: T	DATE CONSIDERED 9/12/2006
*EXAMINER: Initial if reference considered, whether or not cital	tion is in conformance with MPEP 609; Draw line through citation
if not in conformance and not considered. Include copy of this fo	rm with next communication to applicant.

,

										Page 2 of 2		
FORM PTO-14	FORM PTO-1449					DOCKET N 10342	10 .	2	AL NO. 10 e Assigned	1691, 569		
INFO	RMA	TION DISCLOSU	JRE STA	TEMENT		APPLICANTS: Kensaku Motoki, et al.						
	(U	lse several sheets if	·necessary	<i>i</i>)	FILING Herewit	DATE			UP ART UNI e Assigned	IT		
	U.S. Patent De											
Examiner Initials		DOCUMENT NUMBER	DATE NAME			CLASS	SUB CLAS		FILING D			
	AA		·						***************************************			
	AB				-			_				
<u> </u>		<u> </u>	FO	REIGN PATENT	DOCUM	ENTS	<u> </u>					
*Examiner's Initials		DOCUMENT NUMBER		DATE	COUN	ITRY	CLASS	SUB- CLASS		LATION NO		
	AC											
	AD		1									
n				(Including Auth		Date, Pertir	ent Page	es, Etc.)	,l		
	AE	Chinese Office	Action	mailed June 20,	, 2003.							
MS	AF		pitaxy" J	GaN Epitaxial G Japanese Journa 2.								
	AG	Jo. / Bella Ct al.		Structure in Sele applied Physics	•					_		
	AH	ir. Dinina Ct ar.		ive Growth of G ICE, Vol. 97, N						panese)"		
	AI			ration of High-(Technical Repo								
	AJ		Selective	ation of GaN He e Metalorganic 1995, Part 2, Vo	Vapor Pl	hase Epita	xy" Japa	anese J	ournal of A			
	AK	International Pr PCT/JP98/0490		ry Examination	Report (PCT/IPEA	√409) (t	ranslat	ed) for J			
	AL	International Se	earch Re	port for PCT/JI	98/0490	8.						
	AM	Cover page of	WO99/2	3693.								
	AN	Forms PCT/IB/	/304 and	PCT/IB/308.								
MIST	AO	Korean Office	Action r	nailed April 23,	2003				-			
EXAMINER	Ha	um B. 4		D	ATE CON	ISIDERED	9/1	2/21	nn6			
*EXAMINER: if not in conform	/nitia mance	I if reference considered	iered, who	ther or not citation copy of this form	is in conf	ormance wit	th MPEP (709; Dra	aw line throu	gh citation		